

Employer-Sponsored Retirement Plan Participant Transfer-In or 403(b) Exchange-In

✓ **Use this form to:**

- Transfer assets into your existing T. Rowe Price retirement plan account as follows:
 - From the same type of retirement plan.
 - 401(k) or profit sharing plan assets into an Individual 401(k) plan.
 - Traditional or Rollover IRA assets into a SEP-IRA.
 - SIMPLE IRA assets into a SIMPLE IRA (anytime) or a SEP-IRA (two years after the first contribution was credited to the SIMPLE IRA).
- Exchange assets between investment vendors within the same employer's 403(b) plan.

NOTE: Individual 401(k) trustee acceptance and mailing instructions in Section 4C.

✗ **Do not use this form to:**

- Transfer individual securities. Use the [Brokerage Transfer](#) form.
- Roll over 403(b) plan assets from a former employer's 403(b) plan to a current employer's 403(b) plan. Complete the [Employer-Sponsored Retirement Plan Invest Participant Rollover Assets](#) form.

Mail to:

T. Rowe Price
P.O. Box 17150
Baltimore, MD 21297-1150

Express delivery only:

T. Rowe Price Mail Code 17150
4515 Painters Mill Road
Owings Mills, MD 21117-4903

- ✍ A signature guarantee is required when this stamp appears.
- ✂ This paper clip indicates you may need to attach documentation.

1 T. Rowe Price Transfer to Account

1A Plan and Participant Information

Complete a separate form for each plan type and for each investment provider.

Check Plan Type: Individual 401(k) SEP-IRA SIMPLE IRA
 Money Purchase Pension (MPP) Profit Sharing Plan (PSP) 403(b)(7)

Name of Employer-Sponsored Plan		Plan ID
Plan Administrator Name		Phone
Participant Name		
Social Security Number	Date of Birth (mm/dd/yyyy)	
Day Phone	Evening Phone	

1B Investment Election

The transfer/exchange assets will be allocated based on investment elections on file for your account in the plan identified above unless different investment elections are provided below. This election only affects how your transfer or exchange will be allocated. Your current investment balances will not change.

T. Rowe Price Mutual Fund Names	Percent

Total = 100%


2 Transfer/Exchange From Account

Contact the current investment provider to determine if the account must be liquidated to cash prior to receipt of this transfer request. Check one:

- I called my current provider and converted my assets into cash.
- I called my current provider and verified that I do NOT have to convert my assets into cash prior to submitting this form.

List the investments to be liquidated and the cash proceeds transferred to T. Rowe Price. Do not transfer Roth assets. Partial transfers are not allowed for an Individual 401(k) plan adopted as an amendment to a prior qualified plan. Required minimum distributions must be satisfied prior to the transfer/exchange. Check with your current trustee/custodian for additional requirements and/or fees.

Check Plan Type: Individual 401(k) SEP-IRA/Traditional IRA
 MPP PSP SIMPLE IRA
 403(b) Annuity Contract (TSA) 403(b)(7) Mutual Funds

 **Account Statement Required to Process.** Enclose a copy of your most recent statement for account being transferred. ✍

Current Custodian, Trustee, or Insurance Provider Name		Contact Phone
Plan Name	Contact Name	
Address		
City	State	ZIP Code
1. Investment Name		Plan or Account Number
<input type="checkbox"/> Full Liquidation	<input type="checkbox"/> Partial Liquidation: \$	OR %
2. Investment Name		Plan or Account Number
<input type="checkbox"/> Full Liquidation	<input type="checkbox"/> Partial Liquidation: \$	OR %

If CD, liquidate:

- Immediately. I agree to pay any early withdrawal fees.
- Upon maturity (mm/dd/yyyy) .
In order to transfer at the maturity date, this form must be received within 15–30 days of maturity.

For more assets, check this box and attach [instructions](#). ✍



3 Money Type Conversion

Individual 401(k), PSP, MPP, and ERISA 403(b) transfers must provide instructions to allocate the transfer to the appropriate money type in order to complete the transfer. If you have a SEP-IRA, SIMPLE IRA, or non-ERISA 403(b), assets will be placed in the trustee transfer money source. The dollar amount entered must total the amount of the transfer.

Money Type	Percent or Dollar Amount
<input type="checkbox"/> Discretionary contribution	<input type="text"/> % or \$ <input type="text"/>
<input type="checkbox"/> Pre-tax Salary reduction	<input type="text"/> % or \$ <input type="text"/>
<input type="checkbox"/> Matching	<input type="text"/> % or \$ <input type="text"/>
<input type="checkbox"/> Trustee transfer	<input type="text"/> % or \$ <input type="text"/>
Total = 100%	

4 Signatures

4A Participant and Plan/Third-Party Administrator

As a plan participant, I direct the trustee, custodian, or insurance provider named in Section 2 to liquidate the specified amount and transfer the cash proceeds to T. Rowe Price. I certify that I have established a plan account to accept this transfer/exchange and hereby agree to the terms and conditions set forth in this form and the governing legal document for the plan account.

I agree to be bound by the terms of the prospectus for each T. Rowe Price fund in which I am investing. I have the authority and legal capacity to purchase mutual fund shares and am of legal age in my state.

As a plan/third-party administrator, I hereby authorize the transfer of assets according to the participant instructions on this form. (Not required for SEP-IRA, SIMPLE IRA, or non-ERISA 403(b) that do not have a third-party administrator.)

Signature(s) and Date(s) Required

Participant	Date (mm/dd/yyyy)
<input checked="" type="checkbox"/>	
Plan/Third-Party Administrator	Date (mm/dd/yyyy)
<input checked="" type="checkbox"/>	

4B Signature Guarantee

Consult the current asset custodian or trustee to determine if a signature guarantee is required to process this transfer.

You can obtain the Medallion signature guarantee from most banks, savings institutions, or broker-dealers. We cannot accept guarantees from notaries public or non-Medallion guarantors. The level of coverage provided by the guarantor's stamp must cover the dollar amount of the transaction or it may be rejected.

Medallion Signature Guarantee—Place Medallion Stamp Below

4C Individual 401(k) Trustee Acceptance

This section is to be completed by the trustee of the Individual 401(k) plan receiving the transferred assets. T. Rowe Price does not complete this section.

The _____ Plan (current plan name) has been amended by adopting an Individual 401(k) plan. The Individual 401(k) plan trustee named below agrees to accept the transfer of assets for the participant identified in Section 1.

Signature and Date Required

Trustee Signature Date (mm/dd/yyyy)

Print Name of Trustee

Individual 401(k) Important Mailing Instructions

T. Rowe Price **DOES NOT** initiate the transfer.

1. **Mail the original form with all required signatures to the current custodian (investment provider) or trustee. NOTE:** The current custodian may require its own paperwork to transfer the assets.
2. Send a **copy** of this form to T. Rowe Price, P.O. Box 17350, Baltimore, MD 21297-1479. We will keep the transfer investment instructions on file pending receipt of the assets.

Individual 401(k) Payment Instructions to Current Financial Institution

1. Make the transfer check payable to the trustee(s) of the Individual 401(k) plan for the benefit of the participant (for example: "John Smith and Mary Jones, Trustee(s) of the XYZ Individual 401(k) Plan FBO Jane Doe").
2. Mail the check with this form to the following address: T. Rowe Price, P.O. Box 17350, Baltimore, MD 21297-1479.
3. Do not send Roth assets.

Official Use Only—Letter of Acceptance/Payment Instructions

T. Rowe Price will complete this section (Except for Individual 401(k) plans. See Section 4C for payment instructions.)

Payment Instructions to Current Investment Provider

T. Rowe Price maintains a retirement account (under Internal Revenue Code) for this individual and will accept and deposit the transferred assets in the type of account referenced in Section 1A. We are unable to accept Roth assets.

For 403(b) exchanges, T. Rowe Price has established a 403(b)(7) custodial account for the individual and will accept this exchange as an approved vendor for the plan.

Authorized Signature, T. Rowe Price Date (mm/dd/yyyy)

Title

Make check payable to: T. Rowe Price Trust Company TOA for

Owner's Name

Tracking Number/Plan ID

Mail proceeds to:

T. Rowe Price
P.O. Box 17150
Baltimore, MD 21297-1479
Call to wire proceeds.

Express delivery only:

T. Rowe Price
Mail Code 17150
4515 Painters Mill Road
Owings Mills, MD 21117-4903

